

Substitute for Form 1449/PTO

**Complete if Known**

**O I P E**  
**INFORMATION DISCLOSURE**  
**STATEMENT BY APPLICANT**  
*(use as many sheets as necessary)*

AUG 13 2004  
 PATENT & TRADEMARK OFFICE  
 U.S. DEPARTMENT OF COMMERCE

1	of	12	Attorney Docket Number	3551.P006C3
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**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
TD		US- 4,698,810	10/6/1987	Fukuda, et al.	
TD		US- 4,991,169	2/5/1991	Davis, et al.	
TD		US- 5,261,072	11/9/1993	Siegel	
TD		US- 5,295,247	3/15/1994	Chang, et al.	
TD		US- 5,313,585	5/17/1994	Jeffries, et al.	
TD		US- 5,337,409	8/9/1994	Sakata	
TD		US- 5,359,698	10/25/1994	Goldberg, et al.	
TA		US- 5,379,284	1/3/1995	Kim	
TA		US- 5,408,477	4/18/1995	Okada, et al.	
TD		US- 5,418,910	5/23/1995	Siegel	
TD		US- 5,434,722	7/18/1995	Bizjak	
TD		US- 5,446,877	8/29/1995	Liu, et al.	
TD		US- 5,485,440	1/16/1996	Ogino	
TA		US- 5,499,252	3/12/1996	Watanabe	
TD		US- 5,535,327	7/9/1996	Verinsky, et al.	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
TD		WO 93/09500	5/13/1993	Tandy Corporation		
TD		EP 0689207A1	12/27/1995	Oak Technology Inc.		

Examiner  
Signature

Date Considered  
10/11/04

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

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SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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Examiner Signature		Date Considered	10/10/09
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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(use as many sheets as necessary)*

Sheet **3** of **12** Attorney Docket Number **3551.P006C3**

**Complete if Known**

Application Number	10/773,880
Filing Date	February 6, 2004
First Named Inventor:	Phil Verinsky
Art Unit	2116
Examiner Name	Thuan N. Du

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
<i>TD</i>		<u>Initial Determination, In the Matter of CERTAIN CD-ROM CONTROLLERS AND PRODUCTS CONTAINING THE SAME II, Inv. No. 337-TA-409, U.S. International Trade Commission, Public Version, stamp dated 6/11/99, Table of Contents and pages 73-75.</u>	
<i>TD</i>		<u>Recommended Determination Concerning Respondent's Motion for Sanctions, In the Matter of CERTAIN CD-ROM CONTROLLERS AND PRODUCTS CONTAINING SAME - II, Inv. No. 337-TA-409, U.S. International Trade Commission, Public Version, stamped received date 10/22/99, (61 pp. total)</u>	
<i>TD</i>		<u>Notice of Final Determination and attached Order, In the Matter of CERTAIN CD-ROM CONTROLLERS AND PRODUCTS CONTAINING THE SAME - II, Inv. No. 337-TA-409, U.S. International Trade Commission, Corrected Version, stamp dated 9/28/99, (9 pp. total)</u>	
<i>TD</i>		<u>Commission Opinion, In the Matter of CERTAIN CD-ROM CONTROLLERS AND PRODUCTS CONTAINING SAME - II, Inv. No. 337-TA-409, U.S. International Trade Commission, Public Version, stamped received 10/20/99, (89 pp. total)</u>	
<i>TD</i>		<u>Denial of Motion for Sanctions; Vacatur of Recommended Determination, In the Matter of CERTAIN CD-ROM CONTROLLERS AND PRODUCTS CONTAINING SAME - II, Inv. No. 337-TA-409 (2 pp.) attached Order (2 pp.) and attached Certificate of Service (1 pp.), U.S. International Trade Commission, stamp received dated 12/13/99.</u>	
<i>TD</i>		<u>Offer for Sale, letter dated 5/19/93 to David D. Tsang, President, Oak Technology, from Masafumi Kaneko, General Manager, Optical Media Product Division, NEC Home Electronics Co., Ltd. (3 pages), Memorandum signed by David D. Tsang on 5/27/93 and Masafumi Kaneko on 5/28/93 (1 page)</u>	
<i>TD</i>		<u>Memorandum dated 4/20/94 to Don Bryson, cc: Scott Alberts, Mike Hetzel, From Peter Brown, Re: Barrier Plan (2 pages)</u>	
<i>TD</i>		<u>Draft Proposed American National Standard -- AT Attachment Prepared by X3T9 - I/O Interface, Accredited Standards Committee - X3 Information Processing Systems (56 pp.), 2/10/92, X3.221-199x, X3T9.2/90-143.</u>	
<i>TD</i>		<u>Sanyo LC8950 &amp; LDC8951, Real-Time Error Correction and Host Interface Processors for CD-I and CD-ROM Applications, Application Note No. E89, Sanyo Electric Co., Ltd. Semiconductor Division, First English edition: April 1988, Revised to include LC8951: June 1989 (118 pages), Contents (i-ii), List of Figures (iii-iv), List of Tables (v)</u>	
<i>TD</i>		<u>Oak Technology, Inc. OTI-012 Overview dated 11/11/91 (2 pgs.), 5 diagrams (5 pgs.), Oak Technology, Inc. Memorandum to: Mr. Sugie @ Mitsumi Dallas Office, from: Phil Verinsky @ Oak Technology, Inc., Date: 3/10/92, CC: James Ou, Aki Tanaka, Peter Brown, Kenji Fujimoto, Subject: OTI012 Production Package (3 pp. &amp; 2 pp. of diagrams)</u>	

Examiner Signature

Date Considered

10/4/04

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<b>Substitute for Form 1449/PTO</b>				<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				Application Number	10/773,880
Sheet	4	of	12	Filing Date	February 6, 2004
				First Named Inventor:	Phil Verinsky
				Art Unit	2116
				Examiner Name	Thuan N. Du
				Attorney Docket Number	3551.P006C3

### NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
TV		Oak Technology, Incorporated Preliminary Specification OTI-012 CD-ROM Controller Chip, 3/20/92, Copyright 1992 Oak Technology, Inc. Printed in USA (72 pp.), Table of Contents (1 p.), Overview (1 p.), Appendix (A-1 to A-3)	
TV		Proposal for a Working Draft, Revision A1.3, 6/10/93, 11:32 am, ATAPI CD-ROM Standard, Deposition Exhibit 653 Shahani, 10/9/98 (130 pp.), Table of Contents (pp. 3-6), List of Tables (pp. 7-8), List of Figures (pp. 9-10)	
TV		Memorandum dated 2/19/93 pertaining to the Mitsumi prototype, To: P. Verinsky, CC: M. Case, W. Wang, From: A. Tanaka, Re: IDE Prototype Summary (4 pages)	
TV		OTI-011 IDE CD-ROM Controller Engineering Specification Rev. 1.1, 11/2/92 (19 pp.), Contents (1 p.), Overview (1 p.)	
TV		OTI-011 microcontroller controller register chart showing the OTI-011 registers for communication with a microcontroller (RX-10C; RX-16C; RX-22C, RX-153C ("CD ROM Interface Status),(4/21/93) (5 pp.)	
TV		OTI-011 IDE Interface Control Registers (from the microcontroller's perspective) (2 pp.)	
TV		Oak employee Don Smith's 2/93 design notes describing the OTI-011 registers, including the direct access feature for the PDIAG, DASPE, and clear BSY signals (RX-20C; Verinsky 1029-1032),(1 page)	
TV		Claimed inventor Verinsky's 3/93 IDE/Host Checklist referring to an HIRQ pin for generating host interruption requests (RX-17C; Verinsky Tr. 1032-1034, H:\...Mike.Txt (2 pp.)	
TV		<u>Order No. 16: CONCERNING THE DECLASSIFICATION OF CERTAIN FILINGS AND THE ADMISSION OF CERTAIN EXHIBITS, In the Matter of: CERTAIN CD-ROM CONTROLLERS AND PRODUCTS CONTAINING SAME - II, Investigation No. 337-TA-409, U.S. International Trade Commission, Order signed by Sidney Harris, Administrative Law Judge Issued May 12, 1999 (5 pages)</u>	
TV		Petition for Review of Final Initial Determination of Complainant Oak Technology, Incorporated, In the Matter of: CERTAIN CD-ROM CONTROLLERS AND PRODUCTS CONTAINING SAME II, Investigation No. 337-TA-409, by Joel M. Freed, Stephen J. Rosenman, Edwin H. Wheeler, HOWREY & SIMON, Counsel for Complainant, Oak Technology Incorporated, U.S. International Trade Commission, stamped received 5/24/99 (62 pages), Table of Contents (i-iii), Table of Authorities (iv-vi), Appendix to Petition for Review of Final Initial Determination of Complainant Oak Technology, Incorporated (10 pages)	

Examiner Signature		Date Considered	10/11/04
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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				Application Number	10/773,880
Sheet	5	of	12	Filing Date	February 6, 2004
				First Named Inventor:	Phil Verinsky
				Art Unit	2116
				Examiner Name	Thuan N. Du
				Attorney Docket Number	3551.P006C3

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DS		Disk-Drive Controllers Automate Data Flow, R. Nass, ELECTRONIC DESIGN ARTICLE NO. 20, 10/1/92, pp. 79-80, 82, & 85.	
DS		<u>Complaint Oak Technologies Incorporated's Responsive Brief Regarding the Commissioner's Review of Final Initial Determination and Order No. 15, In the Matter of: CERTAIN CD-ROM CONTROLLERS AND PRODUCTS CONTAINING SAME II, Investigation No. 337-TA-409, by Joel M. Freed, Michael J. Songer, Bert C. Reiser, HOWREY &amp; SIMON, Counsel for Complainant, Oak Technology Incorporated, U.S. International Trade Commission, Before the Honorable Sidney Harris Administrative Law Judge, stamped received 7/20/99 (73 pages), Table of Contents (pgs. i-iv), Table of Authorities (v-viii)</u>	
DS		IDE/ATE Disk Interface Hits the Wall, B.C Cole, ELECTRONIC ENGINEERING TIMES Special Report - Design, May 3, 1993, pp. 46-50	
DS		Compact Disc Terminology by James R. Fricks, Disc Manufacturing Inc., Rev. 1.0 6/92, 1992	
DS		International Search Report dated 4/6/98 for PCT/US 97/21242	
DS		US Court of Appeals for the Federal Circuit, Doc # 00-1078, Oak Technology vs. Mediatek, United Microelectronics, Lite-On Technology and Aopen, Inc., 30 pages, May 2, 2001.	
DS		Written Opinion, date of mailing 09/09/1998, Application No. PCT/UUS97/21242, filing date 19/11/1997, Patent Classification G06F3/06	
DS		Declaration of Robert G. Wedig, Investigation No. 337-TA-409 by the United States International Trade Commission, 57 pages.	
DS		Portions of Application Note No. E89, SANYO TECHNICAL REFERENCE MANUAL MANUAL NO. SANYO LC8950 & LC8951, April 1988, Cover, contents, pp.64-68, 84-85.	

Examiner Signature		Date Considered	10/4/04
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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet

6

of

12

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Application Number	10/773,880
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First Named Inventor:	Phil Verinsky
Art Unit	2116
Examiner Name	Thuan N. Du
Attorney Docket Number	3551.P006C3

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TM		Letter from HOWREY SIMON ARNOLD & WHITE, LLP, dated 10/30/00, Re <u>Citation of Supplemental Authority - Oak Technology, Incorporated v. International Trade Commission, Appeal No. 001-1078</u> , to the Honorable Jan Horbaly, U.S. Court of Appeals for the Federal Circuit, by Hand Delivery.	
TM		Letter from Timothy P. Monaghan, Office of General Counsel, United States International Trade Commission, date 11/7/00, Re Citation of Supplemental Authority - Oak Technology, Incorporated v. International Trade Commission, Appeal No. 001-1078, to the Honorable Jan Horbaly, U.S. Court of Appeals for the Federal Circuit.	
TM		Nonconfidential Brief of Appellant Oak Technology, Inc.; Appeal No. 00-1078; United States Court of Appeals For the Federal Circuit, Oak Technology, Inc. v. International Trade Commission and Mediatek, Inc. United Microelectronics Corp., Lite-On Technology Corp., and AOPEN, Inc.; On Appeal from the United States International Trade Commission in Investigation No: 337-TA-409; Joel M. Freed, Esq., Michael J. Songer, Esq., Christopher L. Kelley, Esq., Thomas C. Fiala, Esq.; HOWREY SIMON ARNOLD & WHITE, LLP, dated 2/24/00..	
TM		Brief of Appellee United States International Trade Commission, United States Court of Appeals for the Federal Circuit; <u>Oak Technology, Inc. v. International Trade Commission and Mediatek, Inc., United Microelectronics Corp., Lite-On Technology Corp., and AOPEN, Inc.</u> ; On Appeal from the United States International Trade Commission in Investigation No: 337-TA-409; Appeal No. 00-1078; Timothy P. Monaghan, Attorney, Lyn M. Schlitt, James A. Toupin, Office of the General Counsel, U.S. International Trade Commission, dated 4/7/00.	
TM		Corrected Brief of Intervenors Mediatek, Inc., United Microelectronics Corp., Lite-On Tech. Corp., and AOPEN, Inc.; Appeal No. 00-1078; United States Court of Appeals for the Federal Circuit, Oak Tech., Inc. v. International Trade Commission and Mediatek, Inc., United Microelectronics Corp., Lite-On Tech. Corp. and AOPEN, Inc.; On Appeal from the U.S. International Trade Commission in Investigation No. 337-TA-409; Michael A. Landra, Esq., James C. Otteson, Esq., Lisa G. McFall, Esq., WILSON, SONSINI, GOODRICH & ROSATI, dated 4/13/00.	

Examiner Signature		Date Considered	10/16/04
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Sheet	7	of	12	Filing Date	February 6, 2004
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TH		Nonconfidential Joint Appendix Volume I; Pages 1-416; Appeal No. 00-1078, United States Court of Appeals for the Federal Circuit, Oak Technology, Inc., v. International Trade Commission, Mediatek, Inc., United Microelectronics Corp., Lite-On Technology Corp., and Aopen, Inc.: On Appeal from the United States International Trade Commission in Investigation No: 337-TA-409; Joel M. Freed, Esq., Michael J. Songer, Esq., Christopher L. Kelley, Esq., Thomas C. Fiala, Esq., HOWREY SIMON ARNOLD & WHITE, LLP. May 1, 2000	
TH		Nonconfidential Joint Appendix Volume II; Pages 417-913.; Appeal No. 00-1078, United States Court of Appeals for the Federal Circuit, Oak Technology, Inc., v. International Trade Commission, Mediatek, Inc., United Microelectronics Corp., Lite-On Technology Corp., and Aopen, Inc.: On Appeal from the United States International Trade Commission in Investigation No: 337-TA-409; Joel M. Freed, Esq., Michael J. Songer, Esq., Christopher L. Kelley, Esq., Thomas C. Fiala, Esq., HOWREY SIMON ARNOLD & WHITE, LLP. May 1, 2000	
TH		Nonconfidential Joint Appendix Volume III; Pages 914-2423: Appeal No. 00-1078, United States Court of Appeals for the Federal Circuit, Oak Technology, Inc., v. International Trade Commission, Mediatek, Inc., United Microelectronics Corp., Lite-On Technology Corp., and Aopen, Inc.: On Appeal from the United States International Trade Commission in Investigation No: 337-TA-409; Joel M. Freed, Esq., Michael J. Songer, Esq., Christopher L. Kelley, Esq., Thomas C. Fiala, Esq., HOWREY SIMON ARNOLD & WHITE, LLP. May 1, 2000	
TH		Reply Brief of Appellant Oak Technology, Incorporated; United States Court of Appeals for the Federal Circuit, Oak Technology, Inc., v. International Trade Commission, and Mediatek, Inc., United Microelectronics Corp., Lite-On Technology Corp., and Aopen, Inc.: On Appeal from the United States International Trade Commission in Investigation No: 337-TA-409; Joel M. Freed, Esq., Michael J. Songer, Esq., Christopher L. Kelley, Esq., Thomas C. Fiala, Esq., HOWREY SIMON ARNOLD & WHITE, LLP, dated 5/11/00.	
TH		Letter dated 11/20/01 from James C. Otteson of Wilson, Sonsini, Goodrich & Rosati to the Honorable Ronald M. Whyte via hand delivery regarding <u>Oak Technology, Inc. v. United Microelectronics Corporation</u> , Case No. 97-20959 RMW (2 pgs)	
TH		Letter dated 11/13/01 from Michael A. Ladra of Wilson, Sonsini, Goodrich & Rosati to the Honorable Ronald M. Whyte via hand delivery regarding <u>Oak Technology, Inc. v. United Microelectronics Corporation</u> , Case No. 97-20959 RMW (4 pgs)	

Examiner Signature		Date Considered	10/14/04
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Substitute for Form 1449/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

8

of

12

**Complete if Known**

Application Number	10/773,880
Filing Date	February 6, 2004
First Named Inventor:	Phil Verinsky
Art Unit	2116
Examiner Name	Thuan N. Du

Attorney Docket Number

3551.P006C3

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
TH		Order re <u>Oak Technology, Inc. v. United Microelectronics Corporation</u> , Case No. C 97-20959 RMW (Consolidated with C 97-21126 RMW) In the United States District Court, For the Northern District of California, San Jose Division, signed by Ronald M. Whyte, United States District Judge (3 pgs)	
TH		[Proposed] First Amended Answer and Counterclaims of United Microelectronics Corporation re <u>Oak Technology, Inc. v. United Microelectronics Corporation</u> , Case No. 97-20959 RMW (ARB) dated 8/10/01 (19 pgs)	
TH		Order Granting Plaintiff Oak Technology's Motion to Dismiss Declaratory Relief Claims and Denying United Microelectronics' Counter-Motion for Leave to Amend re <u>Oak Technology, Inc. v. United Microelectronics Corporation</u> , Case No. 97-20959 RMW dated 10/10/01 and signed by Ronald M. Whyte, United States District Judge on 10/3/01 (15 pgs)	
TH		United Microelectronics Corporation's Opposition to Oak Technology Inc.'s Motion for Dismissal and Notice of Counter-Motion and Counter-Motion for Leave to Amend Counterclaims re <u>Oak Technology, Inc. v. United Microelectronics Corporation</u> , Case No. 97-20959 RMW (ARB), dated 8/31/01 (13 pgs); [Proposed] Order Denying Oak Technology, Inc.'s Motion for Dismissal and Granting United Microelectronics Corp.'s Counter-Motion for Leave to Amend Counterclaims (unsigned) (2 pgs); Certificate of Service of United Microelectronics Corporation's Opposition to Oak Technology Inc.'s Motion for Dismissal and Notice of Counter-Motion and Counter-Motion for Leave to Amend Counterclaims and [Proposed] Order Denying Oak Technology, Inc.'s Motion for Dismissal and Granting United Microelectronics Corp.'s Counter-Motion for Leave to Amend Counterclaims, dated 8/13/01 (2 pgs)	
TH		Oak Technology Inc.'s Claim Construction Brief re <u>Oak Technology, Inc. v. United Microelectronics Corporation</u> , Case No. C97-20959 RMW and <u>Mediatek Inc., v. Oak Technology, Inc.</u> , Case No. C 97-21126 RMW, dated July 19, 2002 (27 pages).	
TH		Oak Technology Inc.'s Response to Defendant United Microelectronics Corporation's Invalidity Contentions Dated June 28, 2002 re <u>Oak Technology, Inc. v. United Microelectronics Corporation</u> , Case No. C97-20959 RMW and <u>Mediatek Inc., v. Oak Technology, Inc.</u> , Case No. C 97-21126 RMW, dated July 19, 2002 (5 pages).	
TH		[Proposed] Order re Unsealing of Case File (2 pgs), Certificate of Service (Order re Unsealing of Case File) (3 pgs), Stipulation re Unsealing of Case File (3 pgs), re <u>Oak Technology, Inc. v. United Microelectronics Corporation</u> , Case No. C97-20959 RMW and <u>Mediatek Inc., v. Oak Technology, Inc.</u> , Case No. C 97-21126 RMW, dated July 30, 2002.	

Examiner Signature

Date Considered

10/14/04

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Substitute for Form 1449/PTO				<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				Application Number	10/773,880
Sheet	9	of	12	Filing Date	February 6, 2004
				First Named Inventor:	Phil Verinsky
				Art Unit	2116
				Examiner Name	Thuan N. Du
				Attorney Docket Number	3551.P006C3

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Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
DN		Defendant United Microelectronics Corporation's Opening Brief on Claim Construction Pursuant to April 15, 2002 Case Management Order (23 pgs), Declaration of James C. Otteson (7 pgs), Misc. Administrative Request of Deft. for leave to File Documents Under Seal (2 pgs), Declaration of Dale E. Buscaino (4 pgs), re <u>Oak Technology, Inc. v. United Microelectronics Corporation</u> , Case No. C97-20959 RMW and <u>Mediatek Inc. v. Oak Technology, Inc.</u> , Case No. C 97-21126 RMW, dated June 28, 2002.	
HS		Defendant United Microelectronics Corporation's Invalidity Contentions (3 pgs), [Proposed] Order Granting Miscellaneous Administrative Request of Defendant United Microelectronics Corporation for Leave to File Documents Under Seal (2 pgs), Confidential Exhibits to Declaration of James C. Otteson in Support of Defendant United Microelectronics Corporation's Opening Brief on Claim Construction (1 pg), Certificate of Service (3 pgs), re <u>Oak Technology, Inc. v. United Microelectronics Corporation</u> , Case No. C97-20959 RMW and <u>Mediatek Inc., v. Oak Technology, Inc.</u> , Case No. C 97-21126 RMW, dated June 21, 2002.	
TA		LAWRENCE J. LAMERS, Information Technology- AT Attachment Interface for Disk Drives, Reference Number ANSI X3.221-199x, Copyright 1994, Computer and Business Equipment Manufacture Association. (75 pages)	

Examiner Signature		Date Considered	01/04/04
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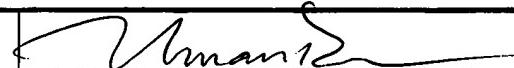
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Sheet	10	of	12	Filing Date	February 6, 2004
				First Named Inventor:	Phil Verinsky
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				Examiner Name	Thuan N. Du
				Attorney Docket Number	3551.P006C3

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TH		<u>Oak Technology, Inc., v. United Microelectronics Corp.</u> , Case No. C97020959 RMW ARB (Consolidated with Case No. C97-21126), In the United States District Court, Northern District of California, San Jose Division, <u>Notice of Motion and Motion for Summary Judgment that the '715 Patent is invalid under 35 U.S.C. § 112, ¶¶ 1, 2 &amp; 6</u> (21 pgs), [Proposed] Order Granting UMC's Motion for Summary Judgment that the '715 Patent is invalid under 35 U.S.C. § 112, ¶¶ 1, 2 & 6, Certificate of Service re Motion for Summary Judgment that the '715 Patent is invalid under 35 U.S.C. § 112, ¶¶ 1, 2 & 6, Declaration of James C. Otteson in Support of UMC's Motion for Summary Judgment that Oak's '715 Patent is invalid under 35 U.S.C. § 112, ¶¶ 1, 2 & 6 (3 pgs), Exhibits A-C dated 11/15/2002	
TH		<u>Oak Technology, Inc., v. United Microelectronics Corp.</u> , Case No. C97020959 RMW ARB (Consolidated with Case No. C97-21126), In the United States District Court, Northern District of California, San Jose Division, <u>Oak Technology, Inc.'s Motion for Summary Judgment Against UMC's Patent Defense to Oak's Fraud Claims</u> (21 pgs), <u>Declaration of Michael J. Songer in Support of Oak Technology, Inc.'s Motion for Summary Judgment Against UMC's Patent Defense to Oak's Fraud Claims</u> (7 pgs), [Proposed] Order (2 pgs), dated 11/25/2002; Certificate of Service dated 11/26/2002 (2 pgs)	
TH		<u>Oak Technology, Inc., v. United Microelectronics Corp.</u> , Case No. C97020959 RMW ARB (Consolidated with Case No. C97-21126), In the United States District Court, Northern District of California, San Jose Division, <u>Oak Technology, Inc.'s Opposition of UMC's Motion for Summary Judgment that Oak's '715 Patent is invalid under 35 U.S.C. § 112, ¶¶ 1, 2 &amp; 6</u> (13 pgs), <u>Declaration of Michael J. Songer in Support of Oak's Opposition to UMC's Motion for Summary Judgment that Oak's '715 Patent is invalid under 35 U.S.C. § 112, ¶¶ 1, 2 &amp; 6</u> (3 pgs), [Proposed] Order (2 pgs), Exhibits 1-10, dated 11/29/2002	
TH		<u>Oak Technology, Inc., v. United Microelectronics Corp.</u> , Case No. C97020959 RMW ARB (Consolidated with Case No. C97-21126), In the United States District Court, Northern District of California, San Jose Division, <u>Reply Brief in Support of UMC's Motion for Summary Judgment that the '715 Patent is Invalid Under 35 U.S.C. § 112, ¶¶ 1, 2 &amp; 6</u> (8 pgs), <u>United Microelectronics Corporation's Objection to "Evidence" Offered by Oak Technology</u> (2 pgs), <u>Reply Declaration of James C. Otteson in Support of UMC's Motion for Summary Judgment that the '715 Patent is invalid under 35 U.S.C. § 112, ¶¶ 1, 2 &amp; 6</u> , Certificate of Service via Overnight and Hand Delivery dated 12/6/2002 (2 pgs)	

Examiner Signature		Date Considered	10/14/04
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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				Application Number	10/773,880
				Filing Date	February 6, 2004
				First Named Inventor:	Phil Verinsky
				Art Unit	2116
				Examiner Name	Thuan N. Du
Sheet	11		12	Attorney Docket Number	3551.P006C3

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JD		<u>Oak Technology, Inc., v. United Microelectronics Corp.</u> , Case No. C97020959 RMW ARB (Consolidated with Case No. C97-21126), In the United States District Court, Northern District of California, San Jose Division, <u>Oak Technology, Inc.'s Submission of Declaration of Robert G. Wedig in Support of Oak Technology, Inc.'s Motion for Summary Judgment Against UMC's Patent Defense to Oak's Fraud Claims dated 12/11/2002</u> (2 pgs), <u>Declaration of Robert G. Wedig in Support of Oak Technology, Inc.'s Motion for Summary Judgment Against UMC's Patent Defense to Oak's Fraud Claims dated 12/10/2002</u> (2 pgs), Certificate of Service dated 12/11/2002 (via Federal Express), letter from Arnold & Porter dated 12/11/2002 to Office of the Clerk, U.S. District Court for the Northern District of California	
JD		<u>Oak Technology, Inc., v. United Microelectronics Corp.</u> , Case No. C97020959 RMW ARB (Consolidated with Case No. C97-21126), In the United States District Court, Northern District of California, San Jose Division, <u>United Microelectronics Corporation's Opposition to Oak Technology, Inc.'s Motion for Summary Judgment on UMC's Patent Defense to Oak's Fraud Claim</u> (24 pgs), <u>Exhibit A</u> (6 pgs), <u>Declaration of James C. Olteson in Support of Microelectronics Corporation's Opposition to Oak Technology, Inc.'s Motion for Summary Judgment to UMC's Patent Defense to Oak's Fraud Claim dated 12/10/2002</u> (16 pgs), Certificate of Service via overnight and hand delivery re United Microelectronics Corporation's Opposition to Oak Technology, Inc.'s Motion for Summary Judgment on UMC's Patent Defense to Oak's Fraud Claim dated 12/13/2002 (2 pgs)	
JD		<u>Oak Technology, Inc., v. United Microelectronics Corp.</u> , Case No. C97020959 RMW ARB (Consolidated with Case No. C97-21126), In the United States District Court, Northern District of California, San Jose Division, <u>Oak Technology, Inc.'s Reply in Support of Oak's Motion for Summary Judgment Against UMC's Patent Defense to Oak's Fraud Claim</u> , <u>Oak Technology, Inc., v. United Microelectronics Corp.</u> , Case No. C97020959 RMW ARB (Consolidated with Case No. C97-21126), In the United States District Court, Northern District of California, San Jose Division (15 pgs), Certificate of Service (2 pgs), dated 12/20/2002	

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TV		Complaint under Section 337 of the Tariff Act of 1930, as Amended; In the Matter of <u>Certain Optical Disk Controller Chips and Chipsets and Products Containing Same, Including DVD Players and PC Optical Storage Devices</u> , United States International Trade Commission Washington, D.C., Investigation No. 337-TA-, dated 3/11/2004 (35 pgs)	
TS		Public Version of Response to Complaint and Notice of Investigation Under Section 337 of the Tariff Act of 1930, as Amended, United States International Trade Commission Washington, D.C. Before the Honorable Paul J. Luckem, Administrative Law Judge, Investigation No. 337-TA-506, dated 5/4/2004 (48 pgs)	
TD		<u>Zoran Corporation and Oak Technology, Inc. v. Mediatek, Inc., Mintek Digital, Inc., Asustek Computer, Inc., Lite-On Information Technology Corp., Teac Corporation, Teac America, Inc., Terapin Technology PTE., LTD. Corporation and Teraoptix L.P. d/b/a Terapin Technology</u> , First Amended Complaint for Patent Infringement; Demand for Jury, United States District Court, Central District of California, Southern Division, Case No. SA CV 04-0313 GLT (RCx), Exhibit 1 and Exhibit 2, dated 5/20/2004, filed 5/21/2004 (114 pgs)	

Examiner Signature		Date Considered	10/4/04
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